

MIRAE ASSET NIFTY INDIA INFRASTRUCTURE & LOGISTICS ETF - APPLICATION FORM

An open-ended scheme replicating/tracking Nifty India Infrastructure & Logistics Total Return Index

Offer for Sale of Units at 1/1000th value of the Nifty India Infrastructure & Logistics closing Index as on the date of allotment for applications received during the New Fund Offer period and at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC. Creation Unit Size of the scheme 5,00,000 units.
NFO Dates: 27/01/2026 to 09/02/2026 Scheme re-opens on 13/02/2026



MIRAE ASSET NIFTY INDIA INFRASTRUCTURE & LOGISTICS ETF

An open-ended scheme replicating/tracking Nifty India Infrastructure & Logistics Total Return Index

New Fund Offer open on : 27/01/2026
New Fund Offer closes on : 09/02/2026

The scheme shall be listed within 5 business days from the allotment for ETF

Application No.:

Mirae Asset Nifty India Infrastructure & Logistics ETF is suitable for investor who are seeking*

- Returns that are commensurate with the performance of Nifty India Infrastructure & Logistics Total Return Index, subject to tracking error.
- Investment in securities constituting in Nifty India Infrastructure & Logistics Total Return Index.

*Investors should consult their financial advisers if they are not clear about the suitability of the product

The above Product Labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Scheme Riskometer

The risk of the scheme is Very High

Scheme Benchmark

The risk of the benchmark is Very High

| Name & Broker Code/ ARN/RIA Code** | Sub Broker / Agent ARN Code | Sub Agent Code | EUIN* | Internal Code for AMC | ISC Date Time Stamp Reference No. |
|---------------------------------------|--------------------------------|----------------|-------|-----------------------|--------------------------------------|
| | | | | | |

EUIN Declaration:** Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN* box is left blank). Please refer instruction 12 for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. *RIA/Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA".

Sign of 1: Applicant / Guardian / Auth. Signatory / PoA / Karta

Sign of 2: Applicant / Guardian / Auth. Signatory / PoA

Sign of 3: Applicant / Guardian / Auth. Signatory / PoA

1. EXISTING UNIT HOLDER INFORMATION - (Please specify your folio number having Demat scheme for this application)

Folio No. Details in our records under the Folio No. mentioned alongside will apply for this application, provided the particulars should match as per your demat account. In case of mismatch, New Folio will be Allotted as per details given in the below Sections.

2. DEMAT ACCOUNT DETAILS - Mandatory The first applicant details provided in the Application Form / Folio No. should be same as per the Demat Account details mentioned below which is held with the Depository Participant.

National Securities Depository Limited (NSDL)
DP Name
DP ID I N Benef. A/C No.

Central Depository Services (India) Limited (CDSL)
DP Name
16 Digit A/C No.

Enclosures - Please (✓) ☐ Client Masters List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

3. APPLICANT(S) NAME AND INFORMATION [Refer Instruction 2] If the 1st/ Sole Applicant is Minor, then please provide details of natural / legal guardian

1st SOLE APPLICANT Mr. / Ms. / M/s.
(Please write the name as per PAN Card)
LEI Code for entities
CKYC ID No. (KIN)
GUARDIAN (In case 1st Applicant is a Minor)
Mr. / Ms. / M/s.
GUARDIAN CKYC ID No. (KIN)
POA / Custodian Name:
POA / Custodian CKYC ID No. (KIN)

PAN
Pls indicate if US Person or a resident for tax purpose / Resident of Canada
☐ Yes ☐ No³ (\$Default if not ✓)
Relationship with Minor (Please ✓)
☐ Mother ☐ Father ☐ Legal Guardian
KYC (Please ✓) ☐ Proof Attached
GUARDIAN PAN
KYC (Please ✓) ☐ Proof Attached
POA / Custodian PAN

Contact Person for Corporate Investor:
Name
Designation:

3. FIRST APPLICANT AND KYC DETAILS All fields marked as * are Mandatory

1st SOLE APPLICANT ☐ Individual or ☐ Non-Individual [Please II Ultimate Beneficial Ownership (UBO) Declaration Form in section 11a & 11b - Refer Instruction No. 16]

*Date of Birth/ Incorporation (Individual) / (Non-Individual)
(Please write the Date of birth as per Aadhaar Card)

Proof of Date of Birth (Please ✓)
(For minor applicant)
☐ Birth Certificate ☐ School Leaving Certificate / Mark Sheet
☐ Passport of the Minor ☐ Others (Please specify)

Place of Birth / Incorporation:
(Please write the Date of birth as per Aadhaar Card)

Country of Birth / Incorporation:

Nationality:

Gender ☐ Male ☐ Female ☐ Other

Type: ☐ Resident Individual ☐ Sole Prop. ☐ NRI - NRE ☐ Trust ☐ Bank / FIs ☐ FIs ☐ PIO ☐ Society/AOP/BOI ☐ Minor through Guardian ☐ NRI - NRO

☐ HUF ☐ LLP ☐ Listed Company ☐ Private Company ☐ Public Ltd. Company ☐ Artificial Juridical Person ☐ Partnership Firm ☐ FOF - MF Schemes ☐ Other (Please specify)

☐ NPO Registration Number of DARPAN Portal (Mandatory)

a*. Occupation Details (Please ✓)
☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife
☐ Business ☐ Retired ☐ Proprietorship ☐ Others (Please specify)

b*. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

c*. Gross Annual Income (₹) (Please ✓) ☐ Below 1 Lakh ☐ 1-5 Lakhs ☐ 5-10 Lakhs ☐ 10-25 Lakhs ☐ >25 Lakhs ☐ > 1 Crore

d*. Net-worth (Mandatory for Non-Individuals) ₹ as on D D M M Y Y Y Y (Not older than 1 year)

e*. Non-Individual Investors involved/providing any of the mentioned services ☐ Foreign Exchange / Money Changer Services ☐ Gaming/Gambling/Lottery/Casino Services
☐ Money Lending / Pawning ☐ None of the above

Please Read All Instructions as given in KIM, to help you complete the Application Form Correctly.

5. JOINT APPLICANTS, IF ANY AND THEIR KYC DETAILS All fields marked as '*' are Mandatory The Mode of Holding will be as is Registered with Depository Participant for this application

2nd APPLICANT Mr. / Ms. / M/s. (Not Applicable in case of Minor Applicant) Gender ☐ Male ☐ Female ☐ Other
(Please write the name as per PAN Card)

PAN Details Pls indicate if US Person or a resident for tax purpose / Resident of Canada ☐ Yes ☐ No* (*Default if not ✓)

CKYC ID No. (KIN) KYC Pls ☒ ☐ Proof Attached Date of Birth (Mandatory) (As per PAN Card)

Place of Birth Country of Birth Nationality:

a*. Occupation Details [Please tick (✓)] ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife
☐ Business ☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others (Please specify)

b*. Politically Exposed Person (PEP) Status ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

c*. Gross Annual Income (₹) [Please tick (✓)] ☐ Below 1 Lakh ☐ 1-5 Lakhs ☐ 5-10 Lakhs ☐ 10-25 Lakhs ☐ >25 Lakhs ☐ > 1 Crore

d*. Net-worth ₹ as on (Not older than 1 year)

3rd APPLICANT Mr. / Ms. / M/s. (Not Applicable in case of Minor Applicant) Gender ☐ Male ☐ Female ☐ Other
(Please write the name as per PAN Card)

PAN Details Pls indicate if US Person or a resident for tax purpose / Resident of Canada ☐ Yes ☐ No* (*Default if not ✓)

CKYC ID No. (KIN) KYC Pls ☒ ☐ Proof Attached Date of Birth (Mandatory) (As per PAN Card)

Place of Birth Country of Birth Nationality:

a*. Occupation Details [Please tick (✓)] ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife
☐ Business ☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others (Please specify)

b*. Politically Exposed Person (PEP) Status ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

c*. Gross Annual Income (₹) [Please tick (✓)] ☐ Below 1 Lakh ☐ 1-5 Lakhs ☐ 5-10 Lakhs ☐ 10-25 Lakhs ☐ >25 Lakhs ☐ > 1 Crore

d*. Net-worth ₹ as on (Not older than 1 year)

6. MAILING ADDRESS [Please provide your E-mail ID and Mobile Number to help us serve you better Refer Instructions 6]

Local Address of 1st Applicant

City State Pin Code

Tel. Off. Resi. Mobile

Mobile No specified above belongs to ☐ Self or Family, due to Investor being (Please tick any one option from below.)

☐ Spouse ☐ Guardian (for Minor Investment) ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings

E - Mail

^^Please Use Block Letters. Investors providing email ID would mandatorily receive all Communications, Statement of Accounts and Abridged Annual Report through e-mail only. In case if physical copies are required kindly refer instruction no. 6(g)

Email address specified above belongs to ☐ Self or Family, due to Investor being (Please tick any one option from below.)

☐ Spouse ☐ Guardian (for Minor Investment) ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings

6a. MANDATORY FOR NRI / FLL APPLICANT [Please provide Full Address. P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address is preferred]

Overseas Correspondence Address

7. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]

Name of the Bank:

Core Banking A/c No. A/c. Type Pls. (✓) ☐ NRE ☐ CURRENT ☐ SAVINGS ☐ NRO ☐ Other

Branch Name: Address:

Bank Branch City: State: Pin Code

MICR Code Please attach a cancelled cheque OR a clear photo copy of a cheque IFSC Code (Mandatory for Credit via NEFT/RTGS)

8. INVESTMENT AND PAYMENT DETAILS (For complete information on Investment Details please refer to Instructions No. 6.)

Scheme - Mirae Asset Nifty India Infrastructure & Logistics ETF

Payment Type [Please (✓)] ☐ Self (Non-Third Party Payment) ☐ Third Party Payment (Please attach 'Third Party Payment Declaration Form')

Payment Mode [Please (✓)] ☐ Cheque/DD ☐ OTM (One Time Mandate) ☐ RTGS/NEFT Core Banking A/c No.

| Cheque / DD / UMRN No. / UTR No. & Date | Amount of Cheque / DD / RTGS / NEFT in figures (Rs.) | DD Charges, if any | Net Purchase Amount | Drawn on Bank / Branch | Pay-In Bank A/c No. (For Cheque Only) |
|---|--|--------------------|---------------------|------------------------|---------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.

☐ PLEASE REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS OR ☐ I/WE DO NOT WISH TO NOMINATE

| No. | Nominee(s) Name | Date of Birth (in case of Minor) | Name of the Guardian (in case of Minor) | Relationship | % of Share | Signature of Nominee / Guardian (Preferred but not Mandatory) |
|-----|-----------------|----------------------------------|---|--------------|------------|---|
| 1 | | <input type="text"/> | | | | |
| 2 | | <input type="text"/> | | | | |
| 3 | | <input type="text"/> | | | | |

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Signature of 1st Applicant / Guardian / Auth. Signatory / PoA (AS IN BANK RECORDS)

Signature of 2nd Applicant / Guardian / Auth. Signatory / PoA (AS IN BANK RECORDS)

Signature of 3rd Applicant / Guardian / Auth. Signatory / PoA (AS IN BANK RECORDS)

PART A To be filled by Financial Institutions or Direct Reporting Non Financial Entity (NFEs)

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

If passive NFE, please provide below additional details. (Please attach additional sheets if necessary). Also provide below mandatory details if the UBO does not have a PAN. (Refer Instruction No. 16)

Additional details to be filled by controlling persons with tax residency/permanent residency/citizenship/Green Card in any country other than India.
* To include US, where controlling person is a US citizen or green card holder
% In case Tax Identification Number is not available, kindly provide functional equivalent

*This declaration is not needed for Companies that are listed on any recognized stock exchange or is a Subsidiary of such Listed Company or is Controlled by such Listed Company. Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BENE

11a. Ultimate Beneficiary Owner (UBO) / Controlling Person(s) / Senior Managing Official details.

Mutual Fund investments are subject market risks, read all scheme related documents carefully.

| | UBO-1 / Senior Managing Official (SMO) | UBO-2 | UBO-3 |
|--|---|---|---|
| Name of the UBO / SMO#. | | | |
| UBO / SMO PAN#. For Foreign National, TIN to be provided] | | | |
| UBO / SMO Country of Tax Residency# | | | |
| UBO / SMO Taxpayer Identification Number / Equivalent ID Number#. | | | |
| UBO / SMO Identity Type | | | |
| UBO / SMO Place & Country of Birth# | Place of Birth _____ Country of Birth _____ | Place of Birth _____ Country of Birth _____ | Place of Birth _____ Country of Birth _____ |
| UBO / SMO Nationality | | | |
| UBO / SMO Date of Birth [dd-mmm-yyyy] # | | | |
| UBO / SMO PEP# | I am PEP. <input type="checkbox"/> Related to PEP. <input type="checkbox"/> Not a PEP. <input type="checkbox"/> | I am PEP. <input type="checkbox"/> Related to PEP. <input type="checkbox"/> Not a PEP. <input type="checkbox"/> | I am PEP. <input type="checkbox"/> Related to PEP. <input type="checkbox"/> Not a PEP. <input type="checkbox"/> |
| UBO / SMO Address Type | Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> | Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> | Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> |
| UBO / SMO Occupation | Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> | Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> | Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/> |
| SMO Designation# | | | |
| UBO / SMO KYC Complied**. If not complied, please complete KYC process independently and then submit the proof. | Please attach the KYC acknowledgement. | Please attach the KYC acknowledgement. | Please attach the KYC acknowledgement. |

Mandatory column.
** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.
Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.
Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

Instructions

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership or entitlement to:
- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
 - more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means. *

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

11b. FATCA AND CRS DETAILS (Self Certification) (Refer instruction No. 14)

(FOR INDIVIDUALS & NON-INDIVIDUALS)

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India? ☐ Yes ☐ No

(If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below)

| 1 st Applicant (Sole / Guardian / Non-Individual) | | 2 nd Applicant | | 3 rd Applicant | |
|--|---|--|---|--|---|
| Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency | <input type="checkbox"/> Yes <input type="checkbox"/> No | Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency | <input type="checkbox"/> Yes <input type="checkbox"/> No | Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Country of Birth / Incorporation | | Country of Birth | | Country of Birth | |
| Country Citizenship / Nationality | | Country Citizenship / Nationality | | Country Citizenship / Nationality | |
| Are you a US specified person? | <input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id. _____ | Are you a US specified person? | <input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id. _____ | Are you a US specified person? | <input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id. _____ |
| For non-Individual investor, in case your country of incorporation / Tax residence is US, but you are not a specified US person then please mention exemption code | | | | | |
| Refer instruction 14(e) | | | | | |
| Individual or Non-Individual investors fill this section if ticked Yes above. | | Individual investor have to fill in below details in case of joint applicants | | | |
| Tax Residency Status: 1 | Country: | Tax Residency Status: 1 | Country: | Tax Residency Status: 1 | Country: |
| | No.: | | No.: | | No.: |
| | Type: | | Type: | | Type: |
| Tax Residency Status: 2 | Country: | Tax Residency Status: 2 | Country: | Tax Residency Status: 2 | Country: |
| | No.: | | No.: | | No.: |
| | Type: | | Type: | | Type: |
| Tax Residency Status: 3 | Country: | Tax Residency Status: 3 | Country: | Tax Residency Status: 3 | Country: |
| | No.: | | No.: | | No.: |
| | Type: | | Type: | | Type: |
| Address Type _____ | | Address Type _____ | | Address Type _____ | |
| (Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio) | | | | | |

In case of applications with POA, the POA holder should ☒ fill separate form to provide the above details mandatorily.

12. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f)]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India:- I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) FATCA/CRS Certification: I/ We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating the same in my folio.

| | | |
|---|---|---|
| <div>Signature of 1st Applicant/Guardian/Authorized Signatory/POA (As in Bank Records)</div> | <div>Signature of 2nd Applicant/Guardian/Authorized Signatory/POA (As in Bank Records)</div> | <div>Signature of 3rd Applicant/Guardian/Authorized Signatory/POA (As in Bank Records)</div> |
|---|---|---|

ACKNOWLEDGMENT SLIP

Received Application from Mr. / Ms. / M/s. _____ as per details below:

| Scheme Name and Plan | Payment Details | Date & Stamp of Collection Centre / ISC |
|--|---|---|
| Mirae Asset Nifty India Infrastructure & Logistics ETF | Amount (Rs) _____ Cheque / DD No.: _____ Dated _____ Bank & Branch _____ | |

Cheque / DD is subject to realisation

Declaration Form of Non-Profit Organization (NPO)

(Mandatory for Trusts/Society)

MIRAE ASSET
Mutual Fund

| | | | | | | | | | | | |
|---------------|--|--|--|--|--|--|--|--|--|--|--|
| Investor Name | | | | | | | | | | | |
| PAN | | | | | | | | | | | |

☐ I/We hereby confirm that above stated entity / organization is falling under “**Non-profit Organization**” [NPO] which has been constituted for religious or charitable purpose referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the companies Act, 2013 (18 of 2013).

☐ Enclosed relevant documentary proof evidencing the above definition.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

| | |
|--------------------------------------|--|
| Registration Number of DARPAN Portal | |
|--------------------------------------|--|

If not, please register immediately and confirm with the above information. In absence of receipt of the DARPAN portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and / or report to the relevant authorities as applicable.

☐ I/We hereby confirm that the above stated entity / organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

| | | |
|----------------------|----------------------|----------------------|
| Authorized Signatory | Authorized Signatory | Authorized Signatory |
|----------------------|----------------------|----------------------|

Place: _____

Date: ____ / ____ / ____

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

1. General Instructions

- (a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

2. Applicant Information

- (a) Name and address shall be given in full without any abbreviations. In case the investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF.
- (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, FII and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.
- (d) **KYC Requirements and Details:**

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form.

Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

Individual Investors:

- (i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund.
- (ii) If any new individual investor uses the old KRA KYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form.
- (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across.
- (e) **Rejection:** In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Kfin technologies Ltd. or send an email to customercare@miraeasset.com.
- (f) (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF. (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney. (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

- a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.
- b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the "Multiple Bank Accounts Registration Facility", to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

- (a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS.

6. Investment Details:

- a) Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).
- b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges.
- c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- d) Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

For Direct Investments, please mention "Direct" in the column "Broker / Agent Code".

- e) **Third Party Cheque/Funds Transfer will not be allowed for Investment** subscriptions except in the following cases:
 - Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
 - Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions
 - Custodian on behalf of an FII or a Client.

- f) **Physical Copies:** Investors who requires physicals copies kindly reach us through email id: customercare@miraeasset.com Toll Free Number : 1800-2090-777.

7. Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
- 2. The CAS shall be generated on or before 12th of the succeeding month who have opted for e-CAS and on or before 15th day of the succeeding month to investors who have opted for delivery via physical mode.
- 3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis (at the end of every six months (i.e. September/ March)).
- 4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.
- 5. Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

8. Online Transactions/Personal Identification Number (PIN):

This facility (transact@ease) enables Investors to transact on the website of the Fund which is <https://transact.miraeassetmf.co.in/investor>. This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

9. Nomination Details: The Nomination Details will be as is Registered with Depository Participant for this application.

10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

- 1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.
- 2. Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated "direct" or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

11. Employee Unique Identification Number (EUIN):

In order to assist in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ("execution only") & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals). Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

- 12. **Units in Demat mode:** All the units of the fund will be held in Dematerialized ("Demat") Form. the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically. Unit holders must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.

- 13. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority. (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with their investment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / 'C/o address' / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

INSTRUCTIONS

14. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).
- b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.
- c. Active NFE : (is any one of the following):

| Code | Sub-category |
|------|---|
| 01 | Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income; |
| 02 | The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing; |
| 03 | Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; |
| 04 | The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; |
| 05 | The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; |
| 06 | The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; |
| 07 | Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (1) an Investor Protection Fund referred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act; |
| 08 | The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market. |

- d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.
- e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.
 - (i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).
 - (ii) The United States or any of its agencies or instrumentalities.
 - (iii) A state, the District of Columbia, a possession of the United States or any of their political subdivision or instrumentalities.
 - (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i).
 - (v) A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i).
 - (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state.
 - (vii) A real estate investment trust.
 - (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940.
 - (ix) A common trust fund as defined in section 584(a).
 - (x) A bank as defined in section 581.
 - (xi) A broker.
 - (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1).
 - (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive income includes: Payout of Income Distribution cum capital withdrawal options; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

Owner documented FFI: An FFI meets the following requirements. The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

15. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:
- No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.
 - For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.
 - In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable Net Asset Value.

16. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form.

A A Ultimate Beneficial Owner means:

i. **For investor other than Trust:** A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant

natural person who holds the position of senior managing official should be provided.

ii. **For Trust:** The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

B Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust. UBO-8: The Protector(s) of the Trust [if applicable]. UBO-9: The beneficiaries with 10% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

17. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

18. **LEI (Legal Entity Identifier) Code :** The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

Pursuant to SEBI Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/115 dated August 08, 2025, No transaction charges shall be deducted from the subscription amount for transactions /applications received through the distributors (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.

APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME
MIRAE ASSET NIFTY INDIA INFRASTRUCTURE & LOGISTICS ETF



| | | | | | |
|-------------------------------------|--------------------------------|----------------|-------|-----------------------|--------------------------------------|
| Name & Broker Code/ ARN/RIA Code | Sub Broker / Agent ARN Code | Sub Agent Code | EUIN* | Internal Code for AMC | ISC Date Time Stamp Reference No. |
| | | | | | |

EUIN Declaration: Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN* box is left blank). Please refer instruction 11 for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. **RIA/Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA*.

| | | |
|---|--|--|
| Sign of 1 st Applicant / Guardian / Auth. Signatory / PoA / Kane | Sign of 2 nd Applicant / Guardian / Auth. Signatory / PoA | Sign of 3 rd Applicant / Guardian / Auth. Signatory / PoA |
|---|--|--|

1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

| | | |
|------------|--|---|
| Folio No.: | | Name of 1 st Unit Holder (as per PAN card) |
|------------|--|---|

2. ADDITIONAL PURCHASE IN EXISTING SCHEME FROM WHERE THE SWITCH OUT TO NFO SCHEME IS INTENDED

KYC compliance status: Please ☒ 1st Applicant ☐ 2nd Applicant ☐ 3rd Applicant

| | | | | |
|----------|---------------------------------------|---|--|--|
| Scheme - | <input type="checkbox"/> Regular Plan | <input type="checkbox"/> Growth (Default) | <input type="checkbox"/> IDCW Payout | <input type="checkbox"/> IDCW* Frequency |
| | <input type="checkbox"/> Direct Plan | | <input type="checkbox"/> IDCW Reinvestment | |

*IDCW frequency is applicable only for Mirae Asset Liquid Fund, Mirae Asset Overnight Fund & Mirae Asset Low Duration Fund.
*Income Distribution cum Capital Withdrawal. IDCW *Frequency can be Daily or Weekly or Monthly; If not selected Monthly will be considered as default, refer SID for more details

Payment Type: Please ☒ Non-Third Party Payment ☐ Third Party Payment (Please attach 'Third Party Payment Declaration Form')

Core Banking A/c No.:

A/c. Type Please ☒ NRE ☐ CURRENT ☐ SAVINGS ☐ NRO

| | | | | |
|------------------------------|--|---------------------|------------------------|---------------------------------------|
| Cheque / DD / UTR No. & Date | Amount of Cheque / DD / RTGS / NEFT in figures (₹) | Net Purchase Amount | Drawn on Bank / Branch | Pay-In Bank A/c No. (For Cheque Only) |
| | | | | |

TRANSACTION CHARGES (Refer Instruction in the KIM) : In case, the purchase amount is ₹10,000 or above and distributor has opted to receive transaction charges, ₹100/- will be deducted from the Purchase amount and paid to the distributor. Units shall be allotted for the balance amount only.

2a. DEMAT ACCOUNT DETAILS – Mandatory for units in Demat Mode - Please ensure that the sequence of names as mentioned as given in folio, matches as per the Depository Details.

| | |
|---|--|
| National Securities Depository Limited (NSDL) | Central Depository Services (India) Limited (CDSL) |
| DP Name: | DP Name: |
| DP ID I N | 16 Digit A/C No. |
| Beneficiary A/c No. | |

Enclosures: Please ☒ Client Masters List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

3. SWITCH REQUEST - I WISH TO SWITCH UNITS / AMOUNT AS UNDER CONSIDERING ABOVE THE PURCHASE

| | | | | |
|---------------|---------------------------------------|---|--|--|
| From Scheme - | <input type="checkbox"/> Regular Plan | <input type="checkbox"/> Growth (Default) | <input type="checkbox"/> IDCW Payout | <input type="checkbox"/> IDCW* Frequency |
| | <input type="checkbox"/> Direct Plan | | <input type="checkbox"/> IDCW Reinvestment | |

*IDCW frequency is applicable only for Mirae Asset Liquid Fund, Mirae Asset Overnight Fund & Mirae Asset Low Duration Fund.
*Income Distribution cum Capital Withdrawal. IDCW *Frequency can be Daily or Weekly or Monthly; If not selected Monthly will be considered as default, refer SID for more details

Amount (in figures) (₹): Or Units (in figures): Or All Units ☐

Amount (in words) (₹):

To Scheme: Mirae Asset Nifty India Infrastructure & Logistics ETF

4. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S) [Refer Instructions 2(f)]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India:- I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I/We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) FATCA/CRS Certification: I/We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating the same in my folio.

| | | |
|---|--|--|
| Signature of 1 st Applicant/Guardian/Authorized Signatory/PoA/Kane (AS IN BANK RECORDS) | Signature of 2 nd Applicant/Guardian/Authorized Signatory/PoA (AS IN BANK RECORDS) | Signature of 3 rd Applicant/Guardian/Authorized Signatory/PoA (AS IN BANK RECORDS) |
|---|--|--|

ACKNOWLEDGMENT SLIP

| | | |
|--|---|---|
| Received Application from Mr. / Ms. / M/s. _____ as per details below: | | |
| Scheme Name and Plan | Payment Details | Date & Stamp of Collection Centre / ISC |
| Mirae Asset Nifty India Infrastructure & Logistics ETF (AUTO SWITCH OUT APPLICATION FORM) | Amount (Rs) _____ Cheque / DD No.: _____ Dated: _____ Bank & Branch: _____ | |

Cheque / DD is subject to realisation

1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
 2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
 3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID The application for Auto Switch will be processed on the closing day of the NFO.
 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
 5. Auto-Switch Transaction reported from Offshore FOF schemes will be process with NAV as per below mentioned table
- 5a. The acceptance of Auto-switch transaction cut off is as mentioned below:

| SCHEME NAME/ CATEGORY | Last date of Acceptance of Auto Switch Transaction |
|--|--|
| MIRAE ASSET NYSE FANG + ETF Fund of Fund | 05-February-2026 [CUTOFF 15:00 HOURS] |
| MIRAE ASSET S&P 500 TOP 50 ETF Fund of Fund | 05-February-2026 [CUTOFF 15:00 HOURS] |
| Mirae Asset Global X Artificial Intelligence & Technology ETF Fund of Fund | 05-February-2026 [CUTOFF 15:00 HOURS] |
| MIRAE ASSET HANG SENG TECH ETF Fund of Fund | 05-February-2026 [CUTOFF 15:00 HOURS] |
| Mirae Asset Global Electric & Autonomous Vehicles ETFs Fund of Fund | 05-February-2026 [CUTOFF 15:00 HOURS] |
| Liquid and Debt Funds | 09-February-2026 [CUTOFF 15:00 HOURS] |
| Equity Funds | 09-February-2026 [CUTOFF 15:00 HOURS] |
| Mirae Asset Overnight Fund | 09-February-2026 [CUTOFF 15:00 HOURS] |
| Mirae Asset Income Plus Arbitrage Fund of Fund | 09-February-2026 [CUTOFF 15:00 HOURS] |

6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
11. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
12. The Application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
13. MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
14. Employee Unique Identification Number (EUID) would assist in tackling the problem of mis-selling even if the employee/ relationship manager / sales person leave the employment of the distributor.

MIRAE ASSET NIFTY INDIA INFRASTRUCTURE & LOGISTICS ETF - ASBA APPLICATION FORM

Application No.:



An open-ended scheme replicating/tracking Nifty India Infrastructure & Logistics Total Return Index
Offer for Sale of Units at 1/1000th value of the Nifty India Infrastructure & Logistics closing Index as on the date of allotment for applications received during the New Fund Offerperiod and at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC. Creation Unit Size of the scheme 5,00,000 units.
NFO Dates: 27/01/2026 to 09/02/2026 Scheme re-opens on 13/02/2026

ASBA Application Form (Application Supported by Blocked Amount) To be submitted to Self Certified Syndicate Bank [SCSB]

| | | | | |
|-------------------------------------|-----------------------------|----------------|---|-----------------|
| Name & Broker Code / ARN / RIA Code | Sub Broker / Agent ARN Code | Sub Agent Code | Employee Unique Identification Number (EUIIN) | Application No. |
| | | | | |

EUIIN Declaration: Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIIN* box is left blank). Please refer instruction 11 of KIM for complete details on EUIIN. I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. RIA Declaration: "I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA".

| | | |
|---|---|---|
| Signature of 1 st Applicant / Guardian / Authorised Signatory /PoA/Karta | Signature of 2 nd Applicant / Guardian / Authorised Signatory /PoA | Signature of 3 rd Applicant / Guardian / Authorised Signatory /PoA |
|---|---|---|

1. EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number, KIN, Section 2 & proceed to Section 4 - Investment Details]

| | |
|-----------|-------------------------------|
| Folio No. | CKYC Identification No. (KIN) |
|-----------|-------------------------------|

2. APPLICANT(S) NAME AND INFORMATION [Refer Instruction 2] If the 1st / Sole Applicant is Minor, then please provide details of natural / legal guardian

| | |
|---|--|
| Name of 1 st Unit Holder | |
| 1 st SOLE APPLICANT Mr. / Ms. / M/s. | |
| PAN Details | KYC Pls <input checked="" type="radio"/> <input type="radio"/> Proof Attached Pls indicate if US Person / Resident of Canada <input type="radio"/> Yes <input type="radio"/> No* (*Default if not <input checked="" type="radio"/>) |
| 2 nd SOLE APPLICANT Mr. / Ms. / M/s. | |
| PAN Details | KYC Pls <input checked="" type="radio"/> <input type="radio"/> Proof Attached Pls indicate if US Person / Resident of Canada <input type="radio"/> Yes <input type="radio"/> No* (*Default if not <input checked="" type="radio"/>) |
| 3 rd SOLE APPLICANT Mr. / Ms. / M/s. | |
| PAN Details | KYC Pls <input checked="" type="radio"/> <input type="radio"/> Proof Attached Pls indicate if US Person / Resident of Canada <input type="radio"/> Yes <input type="radio"/> No* (*Default if not <input checked="" type="radio"/>) |

The Units will be Allotted Based on the information Provided for the 1st Applicant and the Holding pattern in the Demat Account.

3. DEMAT ACCOUNT DETAILS – Mandatory for units in Demat Mode - Please ensure that the sequence of names as mentioned under section 3 matches as per the Depository Details.

| | |
|--|--|
| National Securities Depository Limited (NSDL) | Central Depository Services (India) Limited (CDSL) |
| DP Name | DP Name |
| DP ID I N | 16 Digit A/C No. |
| Benef. A/C No. | |
| Enclosures - Please <input checked="" type="radio"/> <input type="radio"/> Client Masters List (CML) <input type="radio"/> Transaction cum Holding Statement <input type="radio"/> Delivery Instruction Slip (DIS) | |

4. INVESTMENT DETAILS (Please ☒ option you wish to invest)

| | |
|--------------|---|
| Regular Plan | <input type="radio"/> Growth - INFXXXXXXXX <input type="radio"/> IDCW Payout - INFXXXXXXXX <input type="radio"/> IDCW Re-investment - INFXXXXXXXX |
| Direct Plan | <input type="radio"/> Growth - INFXXXXXXXX <input type="radio"/> IDCW Payout - INFXXXXXXXX <input type="radio"/> IDCW Re-investment - INFXXXXXXXX |

5. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]

| | |
|--|---|
| Name of the Bank | |
| Core Banking A/c No. | A/c. Type Pls. (<input checked="" type="radio"/> NRE <input type="radio"/> CURRENT <input type="radio"/> SAVINGS <input type="radio"/> NRO |
| Branch Name | Address |
| Bank Branch City | State |
| MICR Code | Pin Code |
| Please attach a cancelled cheque OR a clear photo copy of a cheque | IFSC Code (Mandatory for Credit via NEFT/RTGS) |
| Amount to be blocked | (₹) In Figures In Words |

6. UNDERTAKING BY ASBA INVESTOR & A/C HOLDER, DECLARATION & SIGNATURE(S)

1-I/ We hereby undertake that, I/we have read and understood the instructions contained in this Form and Terms and Conditions concerning ASBA as contained in the Scheme Information Documents (SID) / Key Information Memorandum (KIM) of the above mentioned Scheme and Statement of Additional Information (SAI) of Mirae Asset Mutual Fund. Further I/we understand that if the details as provided by me/us in this Form are different from those in the NFO Application Form, then in such a case, the information as provided by me/us herein will be relied upon. 1-A-I/we further confirm and undertake that I am/we are eligible ASBA applicants(s) as per the relevant provisions of the SEBI (Issue of Capital and Disclosure Requirement) Regulations, 2009. 2-In accordance with provisions of ASBA in the SEBI ICDR Regulations, 2009 and as disclosed in the SAI, I/we authorize-(a) the SCSB to do all acts as are necessary to make an application in the New Fund Offer of above mentioned Scheme, including uploading of application details, blocking the amount to the extent mentioned above under "SCSB BANK ACCOUNT DETAILS" or unblocking of funds in the bank account maintained with the SCSB specified above, transfer of funds to the Mirae Asset Mutual Fund's account on receipt of instructions from the Registrar to Mirae Asset Mutual Fund after finalisation of the basis of allotment, entitling me/us to receive mutual fund units on such transfer of funds, etc. (b) Registrar to issue instructions to the SCSB to unblock the funds in the bank accounts specified above upon finalisation of the basis of allotment and to transfer the requisite money to the Mirae Asset Mutual Fund's account. 3-In case the amount available in the bank account specified above is insufficient, the SCSB shall reject the application. Further, I/we also authorize the SCSB to make relevant revisions as may be required to be done during the NFO, in the event of any modification due to information required. 4-If the DP ID, Beneficiary or PAN is not provided by me/us or the details on the same as furnished in the form are incorrect or incomplete or not matching with the depository records, my/our application shall be rejected and Mirae Asset Mutual Fund or SCSB shall not be liable for losses, if any.

| | | |
|---|---|---|
| Signature of 1 st Applicant / Guardian / Authorised Signatory /PoA/Karta | Signature of 2 nd Applicant / Guardian / Authorised Signatory /PoA | Signature of 3 rd Applicant / Guardian / Authorised Signatory /PoA |
|---|---|---|

Received Application from (sole/1st applicant) ASBA Application Form details of which are follows:

| | | |
|--|----------------------|--------------------|
| Mirae Asset Nifty India Infrastructure & Logistics ETF | Payment Details | |
| Plan- | Application No.: | Amount Blocked (₹) |
| Option- | SCSB (Bank & Branch) | Bank Account No.: |
| | Date of Submission | Scheme/Plan/Option |

Please Read All Instructions as given in KIM, to help you complete the Application Form Correctly.

ACKNOWLEDGEMENT SLIP

IMPORTANT INFORMATION & INSTRUCTIONS FOR ASBA MUTUAL FUND INVESTORS



Background: In its continuing endeavor to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of Circular No. SEBI / CED / DIVA / ASBA / 1 / 2009 / 30 / 12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

1. Meaning of ASBA: ASBA is an application for Subscribing to a New Fund Offer Application FORM (NFO), containing an authorisation to block the application money in a bank account.

2. Self Certified Syndicate Bank (SCSB): SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSB will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. Investors maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSB's for availing this facility. An updated list of all the registered SCSB's, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the website of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively Investors may also contact the AMC, R&TA for information about the SCSB's or the ASBA process. These SCSB's are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA bidder shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the issue. Stock Exchange and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSB's maintain by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective website. These details shall also be made available by the SCSB on its website:

(i) Name and address of the SCSB.

(ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids.

(iii) Name and contact details of a nodal officer at a senior level from the CB.

3. Eligibility of Investors: An Investors shall be eligible to apply through ASBA process, if he/she:

(i) is a "Resident Retail Individual Investor, Non Institutional Investor, OIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor.

(ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as 'ASBA Investors'.

4. ASBA Facility in Brief: Investors shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investors or bank account utilised by the ASBA Investors ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the allotment in the New fund offer and consequent transfer of the Application Amount against the allocated Units to the issuers account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchange. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

5. Obligations of the AMC: AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these application similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009. Investors are requested to check with their respective banks about the availability of the ASBA facility.

Other Information for ASBA Investors:

1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.

2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.

3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has system to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.

4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactory redressed.

5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.

6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMCI / Registrar / SCSBs, on the following technical grounds:

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.

2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.

3. ASBA Application form without the stamp of the SCSB.

4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.

5. Bank account details not given/incorrect details given.

6. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA application form.

7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID @ Beneficiary account number or any other relevant details pertaining to the Depository Account.

8. Insufficient funds in the investor's account.

9. Application accepted by SCSB and not uploaded on/with the Exchange/ Registrar.